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Datum: 22 February 2011

Kontaktperson: Stefan Buser Geht ohne Brief an:

Direktwahl: +41 62 835 76 26

Mitteilung

gemäss telefonischer Besprechung

zur Kenntnisnahme zur Stellungnahme

☐ bitte unterschreiben/visieren

bitte anrufen

bitte zurücksenden

bitte erledigen

mit Dank zurück 

Please confirm receipt

United States Bancruptcy Court for the Southern District of New York Attention: Clerk of the Court One Bowling Green New York, New York 10004 **USA** 

#### Claim Transfer Agreement Lehman Program Securities

Dear Sir or Madam

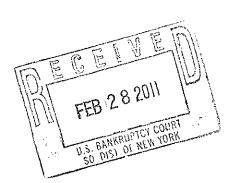
Enclosed you will find a Claim Tranfer Form (Partial Transfer Only).

Could you please confirm receipt of this form?

Yours Sincerely

Stefan Buser

Aargauische Kantonalbank Bahnhofplatz 1 5000 Aarau Switzerland



### Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP</u> Court ID (Court Use Only) \_

# NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee:	Name of Transferor: UBS AG			
Roni and Beatrice Hunziker				
Notices to Transferee should be sent to:  Aargauische Kantonalbank Bahnhofplatz 1 5000 Aarau, Switzerland +41 62 835 76 26 Attn: Mr Stefan Buser stefan.buser@akb.ch	Court Record Address of the Transferor: (Court use only)			
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com			
Amount of Claim Being Transferred:				
10 units	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS			
Court Claim No. (if known): 59233				
Date Claim Filed: October 30, 2009				

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Roni und Beatrice Hunziker

Tannerstrasse 36 CH - 5000 Aarau

By:

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

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## **EVIDENCE OF TRANSFER OF CLAIM**

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN		SUARANTOR	FACE AMOUNT  10 units
ert.Plus Lehman Bros. Treasury BV 2006-24.4.2009 SMI	XS0250113841	Lehman Bros Treasury BV		out of 231 units
				- <u></u> -

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 9 and in paragraphs/lines 3 of the Addendum to the Proof of Claim.

**UBS AG**, ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

### Roni & Beatrice Hunziker

Tannerstrasse 36 CH-5000 Aarau

Telephone: +41 62 835 76 26

Attention: Stefan Buser

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated February 11, 2011.

**UBS AG** 

Transferor

By:

Name: Hugo Koller Title: Director

Bv:

Name: Jean-Claude Besson Title: Associate Director

ACKNOWLEDGED BY:

Roni and Beatrice Hunziker

Transferee

Name: Roni and Beatrice Hunziker